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Express Mail No.: EV 346 811 025US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cécile AULNETTE et al.

Confirmation No.: 7888

Application No: 10/763,978

Group Art Unit: 2811

Filing Date: January 22, 2004

Examiner:

For: SEMICONDUCTOR STRUCTURE FOR

Atty. Docket No.: 4717-7300

PROVIDING STRAINED CRYSTALLINE LAYER ON INSULATOR AND METHOD FOR FABRICATING

SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. 1.56, applicants submit herewith five (5) references for the Examiner's review and consideration. These references were brought to the attention of the applicants and were cited in the European Search Report and a copy is enclosed.

These references are listed on the enclosed Form PTO-1449. It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the PTO Form 1449.

No fee is believed to be due for the filing of this statement as it is being submitted prior to an initial office action for this application. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

Respectfully submitted,

Date: 52404

Allan A. Fanucci

(Reg. No. 30,256)

WINSTON & STRAWN LLP CUSTOMER NO. 28765

(212) 294-3311

Enclosures

					ATTY. DOCKET NO.:		APPLICATION I	NO.:				
() F	LIST	OF REFERENCES CITEI (Use several sheets if no	4717-7300		10/763,978							
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FARE	, st	<u>\$</u>			January 22, 2004		2811					
U.S. PATENT DOCUMENTS												
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
	AA	6,039,803	3/2000	Fitzgerald et a	1.	117	89					
	AB	2002/0084000 A1	7/2002	Fitzgerald		148	33.2	3.2				
	AC	·						·				
FOREIGN PATENT DOCUMENTS												
	"					CLASS	SUBCLASS	TRANSI	LATION			
		DOCUMENT NUMBER	DATE		COUNTRY			YES	NO			
	AD											
	AE					:						
		OTHER REF	ERENCES (I	ncluding Author, T	itle, Date, Pertinent Pages, Etc	:.)						
	AF	B. Gallas et al., "Inf SiGe graded-layers	luence of m s",; Journal	of Crystal Gro	ading dislocations on to bowth 201/202, pp 547-5	he surf 550, (19	ace morp 99)	holog	y of			
	AG	Douglas Paul, "The Physics, Material and Devices of Silicon Germanium Technology", Physics World, pp. 1-14.										
	Asano et al., "Structural characterization of Si ₁ -Ge _x alloy layers grown by molecular beam epitaxy on Si(001) substrates", American Institute of Physics, Journal of Applied Physics, Vol. 87, No. 12, pp 8759-8765 (2000)											
	AI											
•	AJ											
EXAMINE	R			DATE	CONSIDERED							
*EXAMINE	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not											
in conformance and not considered. Include copy of this form with next communication to applicant.												

EXPRESS MAIL LIST

To:

mmissioner for Patents

D. Box 1450

Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on May 24, 2004

Express Mail No. EV 346 811 025 US					
Attorney Docket No.	Appln. Serial No./ Patent No.	Items - Documents filed on May 24, 2004			
4717-7300	10/763,978	Supplemental Information Disclosure Statement, Form PTO 1449, 5 refs.; Submission of Certified Copy of Priority; Document No. EP 03290231.4			

Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of May 24, 2004

NY:863041.7

To: Commissioner for Passets P.O. Box 1480 a mass Alexandria, VA 22313-1450

EXPRESS MAIL LIST

The following items listed below are being filed herewith with the USPTO on May 24, 2004

Express Mail No. EV 346 811 025 US					
Attorney Docket No.	Appln. Serial No./ Patent No.	Items - Documents filed on May 24, 2004			
88265-349	09/640,772	Amendment (9 pages)			
88265-7079	09/935,064	Petition for Extension of Time (in duplicate); Amendment			
4717-7300	10/763,978	Supplemental Information Disclosure Statement, Form PTO 1449, 5 refs.; Submission of Certified Copy of Priority; Document No. EP 03290231.4			
85189-6200	TBA	Utility Patent Application Transmittal (PTO/SB/05); Specification 60 pages including 31 claims; Formal Drawings for Figs. 1-15 (19 sheets); Patent Application Fee Sheet (in duplicate); Executed Inventors' Declaration; Sequence Listing in paper form (12 sheets)			

Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of May 24, 2004

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